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Transmittal Form w/o Declaration

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **MAM-009 Total Pages** First named Inventor or Application Identifier Takuya HASHIMOTO

Title of Invention

NEGATIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY AND MANUFACTURING METHOD THEREOF

## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner for Patents Box Patent Application** Washington, DC 20231

- 1. 

   Fee Transmittal Form
- 2. 

  Specification [Total Pages 21]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 3. 

  Formal Drawings (35 USC 113) [Total Sheets 1]
- 4. ☐ Oath or Declaration [Total pages \*]
  - a. 

    Newly executed (original or copy)
  - b. □ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. 

DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

January 17, 2002

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. 

    Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. 

    Statement verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

- 8. ☐ Assignment papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement

(When there is an assignee)

- □ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. 

  Information Disclosure Statement (IDS) /PTO-1449
  - □ Copies of IDS Citations (\* docs)
- 12. 

  Preliminary Amendment with Version with Markings to Show Changes Made
- 13. 

  Return Receipt Postcard (MPEP 503)
- 14. 

  Assertion to Entitlement to Small Entity Status ☐ Assertion filed in prior application, status still proper and desired
- 15.⊠ Certified Copy of Priority Document

☑ Priority of application No. 2001-008691 filed on January 17, 2001, in Japan is claimed under 35 USC 119.

- ☐ The certified copy has been filed in prior application Serial No. 08/\*.
- 16. ☐ Other: \*

## **OTHER**

17. ☐ Priority of \* Patent Application No. \* filed \* is claimed under 35 USC 119.

FAX

202-887-9093

7.	If a	CON	TINUING	APPLICATION	ON, chec	k appro	priate	box	and	supply the	requisite	information
							4.6					

TEL

□ Continuation

FILING DATE

□ Divisional

☐ Continuation-in part (CIP)

of prior application No.:

202-887-9023

18. CORRESPONDENCE ADDRESS							
□ Customer N	umber or Bar Code Label	020374	or □ Corres	pondence address	below		
NAME	KUBOVCIK & KUBOVCI	К			, ,,,		
ADDRESS	900 17th Street, N.W.			<del></del>	· · · · · · · · · · · · · · · · · · ·		
CITY	Washington	STATE	DC	ZIP CODE	20006		
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Note: Effective October 1, 2001

Application Number	09/
Filing Date	January 17, 2002
First Named Inventor	Takuya HASHIMOTO
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	MAM-009

CLAIMS AS FILED	D-PART 1			SMALL ENTIT	Υ	OTHER THAN ENTITY	SMALL
FOR	N	JMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE .
BASIC FEE (37 CFR 1.16 (a))					\$370.00		\$740.00
TOTAL CLAIMS (37 CFR 1.16 (c))		<u>18</u> - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT C (37 CFR 1.16 (B))	LAIMS	<u>2</u> - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPE	NDENT CLAI	M PRESENT (37 CF	FR 1.16 (d))	\$140.00		\$280.00	
SURCHARGE-LA				SUB TOTAL		SUB TOTAL	\$740.00
SURCHARGE-LA	TE FILING FI	EE OR DECLARAT	ION	\$65.00		\$130.00	
RECORDING ASS	SIGNMENT			\$40.00		\$40.00	
Hinn Hinh		TOTAL			\$0.00		\$740.00

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Payment Deferred									
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SIGNATURE OF ATTORNEY, OR AGENT									
NAME	Keiko Tanaka Kubovcik	REGISTRATION No.	40,428						
SIGNATURE	Keitra	ADDRESS	KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006						
	u ·	TELEPHONE	202-887-9023						
DATE	January 17, 2002	FAX	202-887-9093						

KTK/emd